Use of Machine Learning to Determine the Information Value of a BMI Screening Program

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Introduction: Childhood obesity continues to be a significant public health issue in the U.S. and is associated with short- and long-term adverse health outcomes. A number of states have implemented school-based BMI screening programs. However, these programs have been criticized for not being effective in improving students’ BMI or reducing childhood obesity. One potential benefit, however, of screening programs is the identification of younger children at risk of obesity as they age.

Methods: This study used a unique panel data set from the BMI screening program for public school children in the state of Arkansas collected from 2003 to 2004 through the 2018–2019 academic years and analyzed in 2020. Machine learning algorithms were applied to understand the informational value of BMI screening. Specifically, this study evaluated the importance of BMI information during kindergarten to the accurate prediction of childhood obesity by the 4th grade.

Results: Kindergarten BMI z-score is the most important predictor of obesity by the 4th grade and is much more important to prediction than sociodemographic and socioeconomic variables that would otherwise be available to policymakers in the absence of the screening program. Including the kindergarten BMI z-score of students in the model meaningfully increases the accuracy of the prediction.

Conclusions: Data from the Arkansas BMI screening program greatly improve the ability to identify children at greatest risk of future obesity to the extent that better prediction can be translated into more effective policy and better health outcomes. This is a heretofore unexamined benefit of school-based BMI screening.

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INTRODUCTION

Estimates from the 2015–2016 National Health and Nutrition Examination Survey indicate that 18.5% of children and adolescents in the U.S. are obese.1 Obesity during childhood is associated with both short-term health consequences (e.g., psychological consequences, cardiovascular risk factors in childhood) and long-term consequences such as worse social and economic outcomes, adult morbidity, and risk of premature mortality.2,3 Moreover, children with obesity are more likely to also become adults with obesity.4

Obesity is a primary driver of rising healthcare costs. Recent studies find that the economic impact of obesity in the U.S. could be as high as $147 billion a year for adults, $14.3 billion a year for children, and $17.5 billion for children and adolescents aged 11–17 years (i.e., >10% of all medical spending).5,6 Other studies also

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show that a 1-unit increase in BMI translates to a 1.9% increase in median medical expenditure.7,8

In 1998, the U.S. Government declared childhood obesity to be an epidemic. In 2001, the U.S. Surgeon General issued a call for action to encourage specific actions regarding this public health issue.9 In response to this call, Arkansas implemented a BMI surveillance and screening program through the state’s public schools (Act 1220 of 2003, HB 1583). This legislation, Act 1220 of 2003, was the first legislation requiring public schools to measure the BMI of all students and provide confidential letters known as Child Health Reports for the parents/guardians.10,11 Since then, many other states have implemented some variation of this legislation in the form of surveillance or screening programs. Surveillance programs focus on the aggregate levels of obesity and identify the percentage of the students in the school or school district who are underweight, healthy weight, overweight, or obese. Screening programs provide parents with information on their child’s BMI category. At least 25 states have since passed variations of this legislation requiring public schools to measure students’ BMI, and a number of these states also require public schools to provide health reports to parents/guardians.12,13

Such BMI screening programs could reduce childhood obesity by raising awareness among parents of children with unhealthy weight status and thereby enabling parenting practices that are conducive to healthy body weight. However, evidence on the effectiveness of screening programs is mixed. Almond et al.14 used a regression discontinuity design to evaluate the impact of overweight reports on children enrolled in the New York City public schools. They obtained precise but small estimates indicating that children labeled as overweight in 1 period were not meaningfully more likely to have lower BMI or weight in the subsequent year than children labeled as normal weight. Prina and Royer15 conducted an experimental screening program in Mexico and found that BMI reports effectively transmitted obesity information to parents but did not meaningfully alter parental behaviors. One explanation for null findings may lie in an emerging body of literature questioning the efficacy of correct perceptions of weight status and future weight gain.16–18 Although the underlying mechanisms are unclear, self-perception of being overweight is associated with increased future weight gain in adolescents and young adults.16,17 Similarly, increases in weight have also been observed among younger children whose parents perceived them to be overweight.18

Overall, the utility of BMI screening programs is an issue. Parent focus groups formed to evaluate the content of parental notification letters from the Massachusetts program raised concerns regarding the novelty of information provided and whether BMI was a valid metric to determine a healthy weight.19 Some have raised concerns about unintended consequences such as stigmatization and body dissatisfaction.9 However, one study evaluated weight-based teasing before and 2-years after implementation of school-based BMI screening in Arkansas and found no increases overall or among adolescents who were overweight or obese.20 There is a need for more work in this area.

One issue neglected in the existing literature is the informational value of BMI screening programs. These provide longitudinal data that facilitate a better understanding of childhood obesity, its causes, and the effectiveness of efforts to promote healthier childhood body weight.21 The potential to better identify those at risk of future obesity is an important consideration that has not factored into earlier criticisms of BMI screening programs.9,22,23 Obesity rates tend to increase from early childhood to preadolescence.1 If the availability of BMI information early in elementary school meaningfully improves the ability to identify children who are at greatest risk of becoming obese, this may amplify obesity prevention efforts by better reaching the children who have a high likelihood of becoming obese. This may be a heretofore overlooked merit of early BMI screening programs in public schools.

The purpose of this study is to assess the informational value of the Arkansas BMI screening program, the nation’s first and longest-running program. No other study has examined the potential informational value of BMI screening programs to identify children at risk of becoming obese. This study employs several machine learning algorithms to identify the importance of BMI information during kindergarten (typically aged 5–6 years) on predicting children who are most likely to be obese by the 4th grade (typically aged 9–10 years). Specifically, this study wishes to know whether the availability of BMI information during kindergarten meaningfully improves the prediction of obesity in the 4th grade beyond predictors that could otherwise be observed in absence of the screening program.

METHODS

Study Population

The BMI panel data used in this study reflect the population of Arkansas public school children beginning kindergarten in academic years 2003–2004 through 2014–2015. Children in these cohorts attended 4th grade in academic years 2007–2008 through 2018–2019, respectively. The use of these data was reviewed by the University of Arkansas IRB (protocol number 14-07-026) and was determined to meet Exemption 4 for “research involving the
collection or study of existing data or specimens if publicly available or information recorded such that subjects cannot be identified.29

Measures
This study used data from students observed both in kindergarten and 4th grade. BMI was calculated as (weight in pounds) ÷ (height in inches)² × 703. BMI measures were converted to age- and sex-specific z-scores according to the Centers for Disease Control and Prevention guidelines. Obesity was defined as BMI ≥95th percentile. The outcome variable was the obesity status in the 4th grade. This outcome was predicted using the kindergarten BMI z-score and several other individual and neighborhood measures (called features in machine learning parlance). These included the child’s race, ethnicity, school meal status (whether the child qualified for free or reduced-price school meals), language spoken at home, grade in school, school of attendance, and Census block group of residence.

Because neighborhood SES is associated with excess weight gain in childhood,24 Census block group—level measures of income, poverty, racial, and ethnic composition; educational attainment; housing; and family structure were also included as features in the prediction models. Because the data for the study span a prolonged period, block group—level Census data were taken from Summary File 3 of the 2000 Census of Population and from various releases of the American Community Survey (ACS) 5-year estimates. The Census block group is the smallest unit for which aggregate socioeconomic measures are provided by the U.S. Census. There are 2,147 Census block groups in Arkansas. All monetary measures from the Census or the ACS were adjusted for inflation to reflect the 2010 purchasing power of the U.S. dollar.

Finally, this study characterized the commercial food environment by measuring less-healthy food retailers comprising fast-food restaurants, convenience stores, and low-cost variety (dollar) stores. Historical data on store locations were from the ReferenceUSA Database. Counts of unhealthy food stores by year were determined by adding up the number of fast-food restaurants, convenience stores, and low-cost variety (dollar) stores within 1 and 10 miles of the child’s Census block centroid for urban and rural blocks, respectively.

The Appendix (available online) provides additional information on the assembly of the analysis data set. This contains a sequential description of data preprocessing steps and a concordance between the academic years of kindergarten cohorts and the various releases of the ACS.

Statistical Analysis
This study assessed the performance of several algorithms to predict obesity in 4th grade on the basis of children’s kindergarten characteristics. Specifically, predictions were assessed on the basis of 4 machine learning algorithms: a decision tree, a logistic regression, an artificial neural network, and a random forest. Previous studies provide a detailed review of these methods and contrast among them.25–29 A total of 1 or a mix of these methods have been applied in a wide range of fields such as bioinformatics, economics, and ecology.30–36 In the prediction models, this study randomly selected one third of the data set to serve as the testing set for the purpose of out-of-sample prediction. The remaining two thirds were used as the training set.

The performance of each method was assessed with standard metrics, including accuracy, sensitivity, specificity, and the area under the receiver operating characteristic (ROC) curve. Accuracy was assessed using the following equation:

\[
\text{Accuracy} = \frac{TP + TN}{TP + TN + FP + FN},
\]

where TP, TN, FP, and FN are the counts of true positives, true negatives, false positives, and false negatives, respectively. Sensitivity is the ratio of TP to TP and FN. In this case, sensitivity measured the proportion of non-obese 4th graders who were correctly classified. Specificity was defined by the ratio of TN to FP and TN, which is the proportion of students who were obese and were correctly classified. These 2 measures indicate how aggressive or conservative the algorithms were in classifying subjects.

Statistical analysis was conducted with R, version 4.0.0. Within the R software environment, the caret package, version 6.0–86, was used to train and test the logistic regression, decision tree, and neural network algorithms.37 A 10-fold cross-validation was used on the training data set to estimate the optimal complexity parameter in the decision tree algorithm and the optimal decay and size parameters for the artificial neural network. The randomForest package, version 4.6–14,38 was used to implement the random forest algorithm.39 For the random forest, the optimal number of trees matter. For models with the same results for different numbers of trees, the smallest was chosen to avoid the computational cost of a larger number of trees. The performance of the forest does not necessarily become significantly better as the number of trees grows.40 In this study, the lower bound of the number of trees was 25. This study used 5 iterations to augment the number of trees in steps of 100 up to an upper bound of 525 on the number of trees.

RESULTS
Table 1 shows the summary statistics for the analysis sample. The average BMI z-score in kindergarten was 0.61. Obesity rates in the analysis sample increased from 16% when children were in kindergarten to 24% by the 4th grade. Although not shown in the table, a cross tabulation was run on the obesity indicator in kindergarten and 4th grade. Of the 38,958 kindergartners classified as obese, 32,580 (84%) continued to be classified as obese in the 4th grade. Of the 205,095 non-obese kindergartners, 26,060 (13%) had developed obesity by the 4th grade. Because kindergartner BMI information is being used to predict 4th-grade obesity status, the study could only include children with valid BMI information in both kindergarten and 4th grade. The Appendix (available online) provides additional details on children with incomplete information who were not included in the study.

The logistic regression, random forest, and neural network algorithms were performed similarly in terms of accuracy, sensitivity, and specificity (Table 2) and in terms of area under the curve (AUC) values (Figure 1). The 95% CIs around the AUC overlap among these 3
algorithms. The decision tree showed lower performance with an AUC value that was statistically lower than the AUC from each of the other algorithms. Nevertheless, the performance of the decision tree algorithm was in close proximity to the others. The overall conclusion is that the ability to predict obesity by the 4th grade was robust across the machine learning algorithms and the logistic regression with these data.

As noted above, 1 of the criticisms of school-based BMI screening programs is that they are not effective in improving students’ BMI or reducing childhood obesity9,22,23 and that they might have potential harms related to weight stigmatization.13,41 Overlooked in previous studies is the potential for BMI screening programs to identify at-risk children. For this purpose, the contribution and importance of the kindergarten z-score to prediction accuracy was assessed. When BMI z-score was excluded as a feature, prediction performance dropped markedly (AUC=51.2%, 95% CI=51.0, 51.4 in the random forest algorithm). By contrast, when all features but the kindergarten z-score were excluded, performance fell but by much less (AUC=78.2 %, 95% CI=77.9, 78.6 in the logistic regression algorithm).

Kindergarten BMI z-score ranks first in importance and by a wide margin regardless of the algorithm (Figure 2). Importance weights can be dependent on the method and features of the data. In this analysis, variable importance is based on standardized coefficients for the logistic regression,42 weighted variables for neural network,43 and node strength for tree-based algorithms (random forest and decision tree). All measures of importance were scaled to have a maximum value of 100.

Figure 2 shows that the importance rankings of other features are highly sensitive to the algorithm used. Still, the dominance of kindergarten BMI as an important predictor across methods is noteworthy given that other features have already been identified in previous studies to be associated with BMI levels. For example, parents’ educational level,44–46 income level,46–52 child age,53 and sex54,55 have all been associated with obesity risk.

One issue with the above analysis is that 1 class was more heavily represented than the other, that is, only about 16% of the sample corresponded to the obese category. One of the methods to address this issue is down-sampling the majority class in the training set.56–60 Specifically, the authors used a down-sampling majority class method to achieve balanced samples on the basis of the proportion of students who were obese. As shown in the Appendix (available online), there were improvements in AUC and sensitivity, but overall findings from the balanced samples were similar. Kindergarten z-score

### Table 1. Descriptive Statistics for Study Data Set (N=244,053)

<table>
<thead>
<tr>
<th>Measure</th>
<th>Mean (SD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student age, months</td>
<td>71.28 (5.00)</td>
</tr>
<tr>
<td>Spanish at home</td>
<td>0.09 (0.28)</td>
</tr>
<tr>
<td>Other language at home</td>
<td>0.01 (0.11)</td>
</tr>
<tr>
<td>Free school meals</td>
<td>0.50 (0.50)</td>
</tr>
<tr>
<td>Reduced-price school meals</td>
<td>0.10 (0.30)</td>
</tr>
<tr>
<td>African American</td>
<td>0.22 (0.41)</td>
</tr>
<tr>
<td>Hispanic</td>
<td>0.11 (0.32)</td>
</tr>
<tr>
<td>Asian</td>
<td>0.02 (0.12)</td>
</tr>
<tr>
<td>Other race</td>
<td>0.02 (0.13)</td>
</tr>
<tr>
<td>Female</td>
<td>0.49 (0.50)</td>
</tr>
<tr>
<td>Population African American</td>
<td>0.17 (0.26)</td>
</tr>
<tr>
<td>Population native</td>
<td>0.01 (0.02)</td>
</tr>
<tr>
<td>Population Asian</td>
<td>0.01 (0.03)</td>
</tr>
<tr>
<td>Population other race</td>
<td>0.05 (0.08)</td>
</tr>
<tr>
<td>Population Hispanic</td>
<td>0.07 (0.12)</td>
</tr>
<tr>
<td>Single female HH</td>
<td>0.27 (0.23)</td>
</tr>
<tr>
<td>Less than high school</td>
<td>0.20 (0.12)</td>
</tr>
<tr>
<td>Some college</td>
<td>0.27 (0.09)</td>
</tr>
<tr>
<td>College degree or higher</td>
<td>0.18 (0.14)</td>
</tr>
<tr>
<td>Limited English</td>
<td>0.02 (0.06)</td>
</tr>
<tr>
<td>Median HH income (constant 2010 U.S.$)</td>
<td>41,681.33 (17,831.52)</td>
</tr>
<tr>
<td>Mother in labor force</td>
<td>0.66 (0.19)</td>
</tr>
<tr>
<td>No vehicle access</td>
<td>0.07 (0.08)</td>
</tr>
<tr>
<td>Poverty</td>
<td>0.19 (0.14)</td>
</tr>
<tr>
<td>Median home value (constant 2010 U.S.$)</td>
<td>102,908.70 (55,255.69)</td>
</tr>
<tr>
<td>Vacant housing units</td>
<td>0.12 (0.09)</td>
</tr>
<tr>
<td>Urban Census block</td>
<td>0.65 (0.48)</td>
</tr>
<tr>
<td>Unhealthy food stores (count)</td>
<td>22.16 (22.32)</td>
</tr>
<tr>
<td>Kindergarten BMI (z-score)</td>
<td>0.61 (1.06)</td>
</tr>
<tr>
<td>Obesity indicator—kindergarten</td>
<td>0.16 (0.37)</td>
</tr>
<tr>
<td>Obesity indicator—4th grade</td>
<td>0.24 (0.43)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Measure</th>
<th>Decision tree</th>
<th>Logistic regression</th>
<th>Artificial neural network</th>
<th>Random forest</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accuracy</td>
<td>0.835</td>
<td>0.869</td>
<td>0.869</td>
<td>0.865</td>
</tr>
<tr>
<td>Sensitivity</td>
<td>0.608</td>
<td>0.621</td>
<td>0.623</td>
<td>0.620</td>
</tr>
<tr>
<td>Specificity</td>
<td>0.906</td>
<td>0.947</td>
<td>0.947</td>
<td>0.942</td>
</tr>
</tbody>
</table>

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*Age on date of kindergarten BMI measurement.

*Binary indicator variable for the child in question.

*Proportion of population, HHs, or housing units within the child’s Census block group of residence during his or her kindergarten year. HH, household.
continued to be the most important feature across all algorithms assessed in the balanced samples.

DISCUSSION

Childhood BMI screening programs have been adopted by many states but have been criticized by some for the potential to stigmatize heavier children, limited data on their effectiveness in reducing obesity, and the cost of running the programs. However, a potential benefit of these programs, which has been overlooked in the previous studies, is the value of BMI information during early childhood to predict the likelihood of obesity later in life. The findings indicate that data from the Arkansas
BMI screening program greatly improve the ability to identify children at greatest risk of future obesity. Models that included kindergarten BMI z-score did much better at predicting obesity than models that did not. Across all considered algorithms, the importance of BMI screening information (i.e., kindergarten BMI z-score) greatly exceeded that of any other demographic or socioeconomic measure that could otherwise be used to identify at-risk children.

Although this study finds that kindergarten BMI is a strong predictor of 4th-grade obesity status, the ways to use information from BMI screening programs to better target childhood obesity interventions warrant careful investigation. In this sample, 84% of children with obesity in the 4th grade had already developed obesity by kindergarten. As an alternative to the approach used in this study, future research could focus on predicting obesity in 4th grade among the subpopulation of kindergarteners with a healthy weight status. The authors’ rationale for focusing on the entire population of kindergartners is 2-fold. First, there is a need to help children with an unhealthy weight status achieve a healthy weight as they grow. Second, targeting specific at-risk individuals is problematic given the aforementioned concerns involving privacy and unintentional stigmatization of children who are obese or at risk of becoming obese.

School-based interventions may be more feasible venues. Children receive up to 58% of daily energy intake at schools. Ongoing interventions such as the Supplemental Nutrition Assistance Program Education (SNAP-Ed) and Fresh Fruit and Vegetable Program (FFVP) already target all children in a school and thereby avoid stigmatizing any child or group of children. A school’s eligibility for these interventions is determined by free and reduced lunch participation rates within the school, which is essentially an income criterion because children from lower-income families qualify for free or reduced-price school meals. These findings suggest that considering the baseline kindergarten BMI information of children enrolled in schools could be an additional criterion that may be able to amplify program effectiveness in terms of preventing
obesity or helping children with unhealthy weight status grow into a healthy weight as they age. In both examples, the Supplemental Nutrition Assistance Program Education and Fresh Fruit and Vegetable Program, there are more eligible schools than the participating schools. Thus, baseline BMI information at kindergarten could be used to guide outreach efforts aimed at helping eligible nonparticipating schools with large numbers of high-risk children apply and become active in these programs. This may be a way to ensure these programs reach children at the greatest risk without any changes to existing program eligibility requirements. More generally, information from the BMI screening program could become an integral tool for new or ongoing community-based efforts to target neighborhood or school-based interventions to reach children at greatest risk of obesity.

Limitations

The study does have several limitations. First, the prediction methods used required valid BMI measurements in both kindergarten and 4th grade. Thus, the study excluded children who were missing BMI measurements in 1 or both years. As shown in the Appendix (available online), children with valid measures in kindergarten but with missing or invalid measures in 4th grade had higher BMIs and a higher prevalence of obesity. Second, data for the study were solely from Arkansas, and there is a need to assess whether findings in this study are similar when data from other geographic contexts are used. Finally, this study has only shown that information from a school-based BMI screening program greatly improves the prediction accuracy of later obesity.

CONCLUSIONS

The baseline kindergarten BMI information of children enrolled in schools could be an additional criterion to target specific at-risk children who are obese or at risk of becoming obese and may help children with an unhealthy weight status achieve a healthy weight as they grow. The information can also boost the effectiveness of school-based programs in preventing obesity or helping children with unhealthy weight status. For these reasons, the ability of BMI screening programs to identify children at greatest risk of becoming obese is an important but neglected dimension that should be used in evaluating their overall utility.

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SUPPLEMENTAL MATERIAL

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REFERENCES


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